



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Daniel R. Johnson and Alok Mehta
Application No.: 09/891,911 Group: 3624
Filed: June 26, 2001 Examiner: Bashore, Alain L.
Confirmation No.: 1408
For: METHOD AND SYSTEM FOR EVALUATION OF POTENTIAL
FUNDING SOURCES FOR FINANCIAL PLANS

CERTIFICATE OF MAILING OR TRANSMISSION	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on:	
1-20-05	Jane Morgan
Date	Signature
JANE MORGAN	
Typed or printed name of person signing certificate	

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is a Reply to Restriction Requirement and Amendment for filing in the above-identified application.

- [] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a Small Entity Statement previously submitted.
- [] A Small Entity Statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.

The fee has been calculated as shown below:

(COL. 1)	(COL. 2)	(COL. 3)	(COL. 4)	(COL. 5)
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA
TOTAL	59	MINUS	* 58	1
INDEP	5	MINUS	** 5	0
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				

* not fewer than 20
** not fewer than 3

SMALL ENTITY	
RATE	ADDIT. FEE
X \$ 25	\$
X \$ 100	\$
+ \$ 180	\$

TOTAL = \$ 0

OTHER THAN SMALL ENTITY	
RATE	ADDIT. FEE
X \$ 50	\$ 50
X \$ 200	\$ 0
+ \$ 360	\$

TOTAL = \$ 50

Petition for Extension of Time

- ☐ Applicant hereby petitions to extend the time to respond to the ☐ dated ☐ for ☐ month(s) from ☐ to ☐. The appropriate fee of \$☐ is included in the enclosed check.
- ☐ A ☐ month extension of time to respond to the Notice to File Missing Parts dated ☐ was filed on ☐ with payment of a \$☐ fee
- ☐ Applicant hereby petitions for an additional ☐ month extension of time to respond to the Notice to File Missing Parts. The appropriate fee of \$☐ is included in the enclosed check.

Please charge Deposit Account No. 08-0380 for the following fees:

- ☐ Petition for ☐ month Extension of Time \$
- ☐ Amendment Fee \$
- ☐ Other Fees: \$
- \$
- \$
- TOTAL: \$ 0

A check is enclosed in payment of the following fees:

- ☐ Petition for ☐ month Extension of Time \$
- ☒ Amendment Fee \$ 50
- ☐ Other Fees: \$
- \$
- \$
- TOTAL: \$ 50

- ☒ A general authorization is hereby granted to charge Deposit Account No. 08-0380 for any fees required under 37 C.F.R. 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By 

David J. Thibodeau, Jr.

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Concord, Massachusetts 01742-9133

Dated: 11/29/05

JFV

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Signature

JANE MORGAN

Typed or printed name of person signing certificate

REPLY TO RESTRICTION REQUIREMENT AND AMENDMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Office Action mailed December 21, 2004. Please amend the application and consider the following remarks.